



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI et al.      Art Unit : 2811  
Serial No. : 09/773,543      Examiner : Unknown  
Filed : February 2, 2001  
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE  
SAME

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed March 26, 2001 (copy enclosed), applicant as a large entity submits the following:

- ☒ Payment of the basic filing fee of \$710;
  - ☒ Payment of the additional/multiple dependent claims fees of \$752;
  - ☒ Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
  - ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- and
- ☒ Assignment w/Assignment Recordation Form and check in the amount of \$40 for filing fee

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

*May 21, 2001*

*William D. Hare*

William D. Hare  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,543	02/02/2001	Shunpei Yamazaki	12732-012001

CONFIRMATION NO. 8040

FORMALITIES LETTER



\*OC000000005901424\*

JOHN F. HAYDEN  
Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005

Date Mailed: 03/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$752.
  - \$432 for 24 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1592.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/2001 ERUBAK1 00000017 09773543  
710.00  
320.00  
432.00  
130.00  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105